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Invited Paper

Sub-wavelength near field imaging techniques at terahertz frequencies

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ABSTRACT

Near-field imaging techniques at terahertz (THz) frequencies are severely restricted by diffraction. To date, different detection schemes have been developed, based either on sub-wavelength metallic apertures or on sharp metallic tips. However high-resolution THz imaging, so far, has been relying predominantly on detection techniques that require either an ultrafast laser or a cryogenically-cooled THz detector, at the expenses of a lack of sensitivity when high resolution levels are needed. Here, we demonstrate two novel near-field THz imaging techniques able to combine strongly sub-wavelength spatial resolution with highly sensitive amplitude and phase detection capability. The first technique exploits an interferometric optical setup based on a THz quantum cascade laser (QCL) and on a near-field probe nanodetector, operating at room temperature. By performing phase-sensitive imaging of THz intensity patterns we demonstrate the potential of our novel architecture for coherent imaging with sub-wavelength spatial resolution improved up to $17 \,\mu m$. The second technique is a detector-less s-SNOM system, exploiting a THz QCL as source and detector simultaneously. This approach enables amplitude- and phase-sensitive imaging by self-mixing interferometry with spatial resolution of 60-70 nm

Introduction

Near-field imaging techniques with subwavelength resolution at infrared (IR) and terahertz (THz) frequencies have recently shown a huge potential in a variety of fields, ranging from nanophotonics and fundamental light-matter interactions¹⁻⁵ to life science⁶⁻⁸ and biochemistry,⁹ where non-invasive detection methods are required. To date, different detection schemes

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have been developed, based either on subwavelength metallic apertures¹⁰⁻¹² or on sharp metallic tip probes^{13,14}

(apertureless), that allow to achieve nanoscale spatial resolution well beyond the diffraction limit.

THz near field microscopy presently finds a broad spectrum of applications that include nanoscale imaging of plasmons 15,16

of emergent bi-dimensional (2D) atomic materials (topological insulators, ¹⁷ phosphorene, ³ silicene, ¹⁸ and their combined

van der Waals heterostructures¹⁹), investigation of novel metamaterials and detection of ultrafast dynamics at the

nanoscale.³ Furthermore, the resonant interaction with fundamental excitations of solids and molecules can be exploited

for characterization of fundamental physical properties such as free carrier distribution^{20,21} and phonons,²² plasmon

polaritons, as well as for investigation of biological systems. This research is essential for the design of novel THz optical

components, ^{23,24} e.g. metalenses, ²⁵ metamaterials and devices, as detectors, plasmonic sensors, novel resonators, as well as

for the development of non-invasive biosensing methods.⁶⁻⁸

Near field optical microscopy based on subwavelength apertures (a-SNOM) 10-12 has shown a strong potential in the THz

spectral range for large scale imaging (100 μ m – 3 mm) of devices, resonant probes, ¹² metamaterials²⁶ and biological

tissues⁶. In the conventional a-SNOM, spatial resolution is determined by the aperture size a, but the possibility to achieve

resolution smaller than $\lambda/100$, being λ the wavelength, is practically limited by the suppression of the evanescent field

transmission T. This indeed follows the power law¹⁰ $T \sim a^6$. Such a dramatic reduction of the transmitted intensity can be

overcome by employing a THz nanodetector^{27,28} integrated into a sub-wavelength aperture which is capable to collect the

evanescent component of the transmitted wave.²⁷

Alternatively, the apertureless scattering near field optical microscopy (s-SNOM)^{13,14,20} exploits the capability of a sharp

metallic tip to behave as an optical nanoantenna, which strongly enhances the electromagnetic field in proximity of its

nanometric apex and induces a strong back-scattering in the far field. Since the intensity of the scattered radiation strongly

depends on the physical properties of the sample in contact with the tip, as shown by the finite-dipole model²⁹, a near field

optical image of the sample can be extracted by measuring the scattered light with sensitive detectors. Furthermore,

amplitude and phase contrast information can be obtained using interferometric techniques.^{22,230-32} However, near field

detection at THz frequencies is still challenging due to the lack of efficient commercial detectors. Indeed, cryogenically

cooled bolometric systems¹³ have been up to now a mandatory choice to detect the low intensity THz signals. Very recently

cryogen-free s-SNOM nano-imaging has been demonstrated up to 0.75 THz using Schottky diode components.³³

Here, we show two novel near-field THz imaging techniques able to combine strongly sub-wavelength spatial

resolution with highly sensitive amplitude and phase detection capability.

The first method is based on a near-field probe nanodetector embedded into a subwavelength metallic aperture. Here,

the evanescent THz intensity transmitted through the aperture can be detected by thermoelectric effect. We conceive a

simple and versatile interferometric optical setup focusing the THz radiation emitted by a quantum cascade laser (QCL)

on the near field probe device. This optical setup enables coherent THz near field imaging with spatial resolution improved

up to 17 µm and will be described in Section 1.

The second technique is a detector-less s-SNOM system, exploiting a THz QCL as source and detector simultaneously.

This simple optical approach enables self-detection by exploiting self-mixing interferometry, 34,35 The THz QCL beam is

focused at the apex of an oscillating AFM tip and is also employed for detecting the scattered near field radiation. The

reinjection of a small fraction $(10^{-4} - 10^{-2})$ of the emitted field, that coherently interferes within the QCL cavity, allows

to detect the scattered signal from the tip as voltage change (ΔV) across the THz QCL cavity. In this way, the background

signal can be suppressed at the higher harmonics of the oscillation frequency of the tip. This novel s-SNOM approach

enables highly sensitive near field amplitude and phase imaging with 60-70 nm of spatial resolution, and will be described

in Section 2.

1. Interferometric detection with near field probe nanodetectors

A versatile interferometric optical setup based on a QCL and on a near-field probe nanodevice has been exploited in order

to achieve near-field THz detection at room-temperature. A nanowire detector embedded in a sub-wavelength metallic

aperture²⁶ enables local and coherent detection of the THz near field signal with spatial resolution of ~17 μm, set by the

aperture size.

The field-effect transistor (FET) nanodevice is fabricated by nano-lithography on an undoped Si substrate,

transparent to the THz radiation. The active core of the device is an epitaxially grown, Se-doped InAs nanowire (NW),²⁷

integrated in a trapezoidal-shaped aperture (Fig. 1a). The InAs NW was grown by bottom-up chemical beam epitaxy and

mechanically transferred onto a 350 µm thick Si substrate with a 300 nm insulating SiO₂ layer. The source (S)-drain (D)

channel, 800 nm long, was then lithographically defined (Fig. 1a). The stripe-like D electrode connects the right side of the

NW and is aligned with the triangularly shaped S electrode on the opposite side. S and D electrodes were defined with a

10/100 nm Ti/Au metal sequence and subsequently coated with a 120 nm thick SiO₂ layer to prevent current leakage

between the channel and the top gate electrode (G). The latter extends to the central NW channel and has been designed as

10/150 nm thick Cr/Au screen on the top of the dielectric layer, with a central asymmetric aperture (lateral size 15μm).

The peculiar shape of the top gate electrode (G), characterized by a sub-wavelength aperture, allows to

asymmetrically feed the THz radiation into the active channel of the FET, thus inducing a temperature gradient ΔT along

the axis of the semiconductor NW. In this way, a steady-state thermoelectric voltage $\Delta u_{SD} = S_b \Delta T$ (where S_b is the Seebeck

coefficient) arises along the channel. The thermoelectric photovoltage is proportional to the THz electromagnetic intesity

coupled through the aperture and the detection of the THz signal is enabled by the diffusion currents induced by the

asymmetric feeding of the radiation which produces a not negligible temperature gradient across the FET channel.

Additionally, the responsivity of the nanodetector can be easily tailored by changing the gate voltage applied to the FET.²⁷

In order to demonstrate the detection capability of the aperture integrated NW device, two coherent THz beams

were respectively focused on the aperture side (Ia), and on the back side of the detector (Ib), as schematically shown in Fig.

1b. A simple interferometric optical setup has been employed (Fig.1c) to focus the THz radiation emitted by a QCL on the

two side of the nanodetector. The 3.4 THz QCL, driven in pulsed mode regime (2% duty cycle) was collimated with a

Picarin lens (L, focal length of 25 mm) and directed towards an undoped Si wafer acting as beam splitter (BS). The

transmitted beam is focused on the aperture side of the probe with a combination of a flat mirror (m₁) and a parabolic

mirror (p₁). The reflected beam is sent to an optical delay stage made of two pairs of perpendicular mirrors: m₂m₃ (fixed)

and m_4m_5 (moveable). Finally, the beam I_b is focused on the back side of the device by a second parabolic mirror (p_2). The

near-field detector is supported by a manual z stage, that controls the position of the device in the focal plane, and by a

motorized xy-stage, which allows performing two-dimensional xy scans of the interfering THz beams.

Under this optical configuration, the photovoltage signal $\Delta u_{\rm SD}$, detected across the FET channel, shows a

sinusoidal behaviour as a function of the shift (s) of the optical delay stage (Fig.1d). The periodicity of the signal is about

 $\lambda_{QCL}/2 = 43.5 \mu m$, and maxima and minima correspond to constructive and destructive interference between the two THz

beams Ia and Ib, respectively. The detected interference fringes show the sensitivity of this system to both amplitude and

phase of the THz signal. In this way, the coherent near-field detection of subwavelegth objects in close proximity to the

aperture is enabled.

Furthermore, the 2D map of the interfering beams can be acquired by detecting the induced photovoltage Δu_{SD} in

the xy plane for a fixed position of the delay stage. The photovoltage maps acquired for constructive and destructive

interference of the two THz are shown in Fig. 2a and 2b, respectively. Since the photovoltage signal Δu_{SD} is directly

proportional to the optical intensity Itot coupled to the aperture nanodevice, the two maps shown in Fig. 2a-2b correspond

to the THz near field distribution in the xy plane for in-phase and out-of-phase interference, respectively. In the first case,

a clear interference maximum is detected near the center of the 2D scan within a spot that reads $\Delta u_{SD} = 6.1 \,\mu\text{V}$, extends for

about 100 μm and decays following an almost gaussian distribution law. In the second case (Fig.2b), corresponding to Δs

= $\lambda_{QCL}/4$ the intensity map shows a local minimum at the center of the scan, that asymmetrically extends on the left side

(x<0). At the same time, a local maximum is detected around $x\sim250~\mu m$ due to the different phase shift between the

interfering waves present in this region with respect to the center. Such an asymmetry can be ascribed to a small tilt angle

between the acquisition (xy) plane and the wavefront plane, perpendicular to the propagation direction of the two THz

waves. These images demonstrate the possibility of retrieving the relative phase distribution of the two beams, taking

advantage of the phase-sensitive detection technique.

Additionally, the spatial resolution of the acquired near-field maps can be evaluated by exploiting a micrometric

twin needle probe faced to the aperture nanodevice, as schematically shown in Fig. 2c. To this purpose, we employed two

PtIr needles mechanically polished to obtain a tip apex radius smaller than 1 µm. The needles were fixed at a relative angle

of $\sim 60^{\circ}$ and at a relative distance between their tips of $\sim 10 \, \mu m$, ³¹ by means of a micrometer-controlled stage. The twin-

needle was aligned parallel to the y-z plane and positioned in close proximity of the aperture nanodevice (i.e. x=y=0) with

a micrometer stage. We then acquired the near field probe photovoltage (Δu_a) induced under illumination of the probe on

its front side only (I_a). Under this condition, a strong localization of the THz field is detected at the apex of the needles due

to plasmon guiding of the subwavelegth needles. The detected THz intensity has a gaussian distribution with a FWHM

along the confined y-direction of 17 µm (Fig 2d). This novel methodology proves that strongly subwavelength spatial

resolutions, determined by the aperture size, can be obtained employing by means of a compact nanosensors integrated in

the aperture. The growing field of nanoscale THz detectors, also exploting novel 2D materials, can improve the sensitivity

and spatial resolution of this technique and deliver inexpensive room-temperature sub-wavelength resolution THz imaging

technology.

2. Detector-less THz s-SNOM microscopy

Deep subwavelength spatial resolution can be achieved at IR and THz frequencies by confining the electromagnetic

radiation at the apex of a nanoscale metallic tip in apertureless s-SNOM. 13,14,20 High resolution (10-100 nm) optical images

of resonant materials can be obtained by detecting the radiation scattered by the tip, placed in contact to the sample surface.

Under this condition both amplitude and phase of the near field signal can be retrieved exploiting interferometric

approaches ^{22,30-32} that usually require additional optical components and sensitive detectors. This was a major limitation so

far, since highly sensitive, room temperature THz detectors are missing in this spectral range. Therefore, cryogenically

cooled bolometers are generally employed to detect the weak THz frequency signals.

Here, we show a novel approach that allows to overcome this problem: a detector-less s-SNOM system operating

in self-detection (SD) mode. We demonstrate near field imaging with spatial resolution of 60-70 nm, determined by the tip

size.

The experimental set-up relies on a THz QCL exploited both as radiation source and as a detector for THz radiation

backscattered from the tip. The scattered near field intensity is measured via the self-mixing effect, 34,35 as a voltage change

 (ΔV) across the electrical contacts of the OCL. ^{36,37} This effect is based on the re-injection of a small fraction of the emitted

field that interferes within the laser cavity in a coherent way. Self-mixing interferometry in QCLs has recently shown a

strong potential in different configurations, ranging from near field imaging with sub-wavelength spatial resolution (1 – 7

μm), ³⁸ to the control of the emission of THz QCLs³⁹ and the detection of free carrier distribution in semiconductors. ⁴⁰

In our optical setup (Fig 3a) the 2.7 THz radiation emitted by a QCL is collected by a parabolic mirror (p_1), directed to a couple of flat mirrors (m_1 (moveable with a motorized stage) and m_2 (fixed)) and finally focused at the apex of a Pt AFM tip by a second parabolic mirror (p_2). The tip is positioned in close proximity to the surface of the sample and is dithered at $\Omega = 20$ KHz (i.e. tapping mode operation). The optical setup enables the collection of the radiation scattered from the tip and the focusing on the QCL emission facet, along the same optical path. In this way, self-mixing phenomena occur in the QCL cavity and the optical response of the sample can be retrieved by exploiting the Lang-Kobayashi model.⁴¹ Under the weak feedback regime, the voltage change ΔV across the QCL can be written as a function of the ratio between scattered and incident electric field as:

$$\Delta V \propto A \cos(\omega_0 \frac{2D}{c} - \phi) \tag{1}$$

where A and ϕ are the amplitude and phase of the ratio between scattered and incident field, respectively, ω_0 is the unperturbed laser frequency, and D is the laser-tip distance.

In this configuration, the near field component can be efficiently retrieved from the background scattering signal by demodulating the detected ΔV signal with a lock-in amplifier. Individual harmonics ΔV_i can be recorded up to the order i = 5, as a function of the distance change ΔD between the tip and the laser facet. Since the total voltage change ΔV can be decomposed in harmonic components as $\Delta V = \sum_{i=0}^{+\infty} \Delta V_i \cos(i\Omega t)$, the optical amplitude A_i and phase ϕ_i signals can be retrieved as:

$$\Delta V_i \propto A_i \cos(\omega_0 \frac{2D}{c} - \phi_i) \tag{2}$$

An important feature of this set-up is the possibility to change the optical phase in the external cavity of the self-mixing interferometer over a period 2π , by simply translating the position of the flat mirror m_1 by $\lambda/2 \cong 55.5$ μm . The signals $|\Delta V_2|$ (black line), $|\Delta V_3|$ (red line) and $|\Delta V_4|$ (blue line) detected on a gold film are shown in Fig. 3b. As expected, they show a periodic trend in which two oscillations of $|\Delta V_1|$ correspond to a shift of $\lambda/2$.

The potential of the presented technique in mapping the near field THz intensity with nanoscale resolution is demonstrated in Fig.3c-3e, where the AFM topography and the optical signals $|\Delta V_2|$ and $|\Delta V_4|$ are respectively reported. The topography and optical profiles detected at the step edge between a gold film (thickness 110 nm) and the underlying Si substrate prove

the capability of our THz imaging system to achieve an in-plane resolution comparable with the employed tip apex sizes

(50-70nm).42

The nanoscale resolution and the strong near field sensitivity at the higher harmonics can be exploited to image

novel 2D materials, whose properties at the nanoscale are currently under study. As a proof of principle demonstration we

show in Fig. 4 the optical scan performed on a thin graphene flake lying on a Si substrate is shown. The thickness of the

flake is about 6 nm as shown by the topography image and line profile (Fig.4a-4b)) and is characterized by two folds

running parallel to the graphene/substrate edge. This effect can be attributed to the tape-assisted exfoliation procedure

employed to transfer the graphene flake on the substrate. The corresponding optical image of $|\Delta V_2|$, $|\Delta V_3|$, $|\Delta V_4|$ and $|\Delta V_5|$

(Fig.4c-f)) clearly shows an enhancement of the near field signal on the graphene flake with respect to the non-resonant Si

substrate. The detected enhancement highlights the potential of the technique for the investigation of plasmons in 2D

materials, phonon-polaritons resonances in crystals and optical modes in semiconductor heterostructures.

In conclusion, amplitude and phase⁴² imaging capability with nanoscale (60-70nm) spatial resolution have been

demonstrated at THz frequencies by a detector-less s-SNOM system. The detected near field maps show the strong potential

of this technique for the study of fundamental light-matter interaction phenomena in atomically thin van der Waals

materials and heterostructures.

Figures

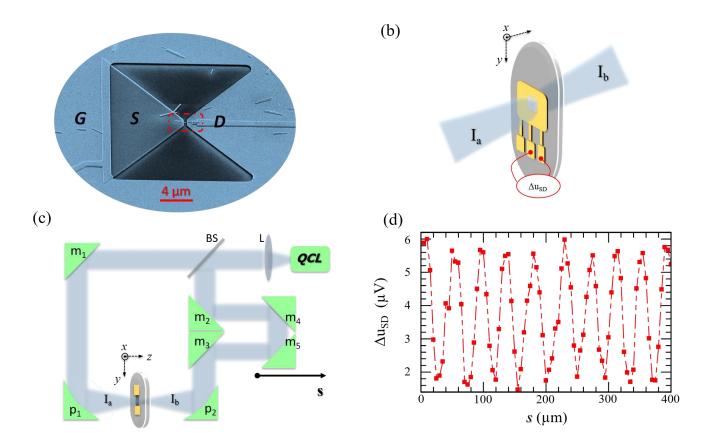


Figure 1: (a) Scanning electron microscopy (SEM) image of the near field THz probe nanodevice (top-view). The red scale bar corresponds to 4 μ m. A top gate contact (G) defines the asymmetric aperture (size: 15 μ m × 15 μ m). The central area embeds a InAs nanowire detector with the source (S) drain (D) channel coated with a SiO₂ film. (b-c) Schematics of the aperture near-field probe geometry and of the interferometric optical set-up, respectively. (d) Detected photovoltage Δu sD under simultaneus THz illumination of the nanodevice on its front (I_a) and reference side (I_b). The interference fringes are detected as a function of the shift s of the optical delay line.

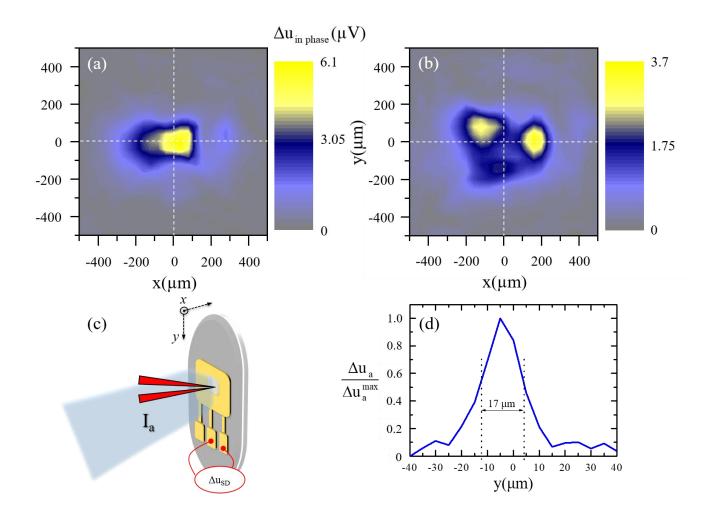


Figure 2: (a,b) Spatial distribution of the near-field probe photovoltage Δu_{SD} , for in-phase and out of phase interference, respectively. c) Schematics of the twin needle probe facing the NW nano-detector with selective THz illumination on the front side of the nanodetector (I_a). d) Photovoltage Δu_a detected at x=0 along the *y-axis*. A gaussian profile of the beam is detected with FWHM of 17 μm.

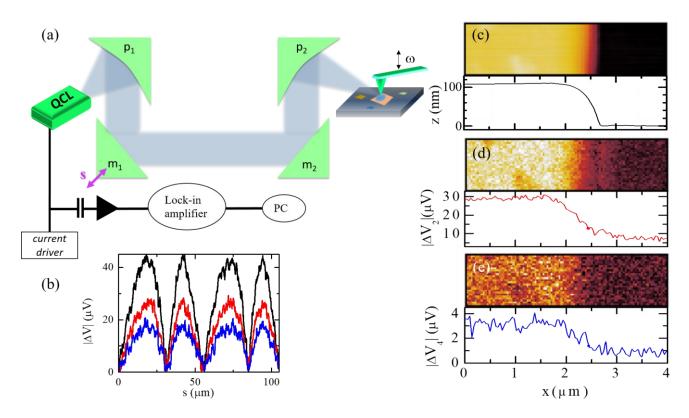


Figure 3: (a) Schematic diagram of the SD s-SNOM system. The following optical components: two parabolic mirrors p_1 and p_2 , one moveable mirror (m_1) and one fixed mirror (m_2) , focus the THz beam emitted by the QCL onto the apex of a metallic AFM tip dithered at frequency Ω in close proximity to the sample surface and feeds the scattered radiation back into the QCL cavity to induce self-mixing effects. The distance $D \approx 60$ cm between the QCL front facet and the tip can be progressively varied by shifting the mirror m_1 with a piezoelectric motor. (b) Self-mixing-induced modulation of the voltage drop $|\Delta V|$, detected on a gold film surface. The detected signals $|\Delta V_2|$ (black curve) $|\Delta V_3|$ (red curve) and $|\Delta V_4|$ (blue curve) are shown as a function of the shift s of the flat mirror. (c) AFM topography, (d) 2^{nd} harmonic ($|\Delta V_2|$) and (e) 4^{th} harmonic ($|\Delta V_4|$) near-field THz imaging of the Au-on-silicon sample at a fixed mirror position.

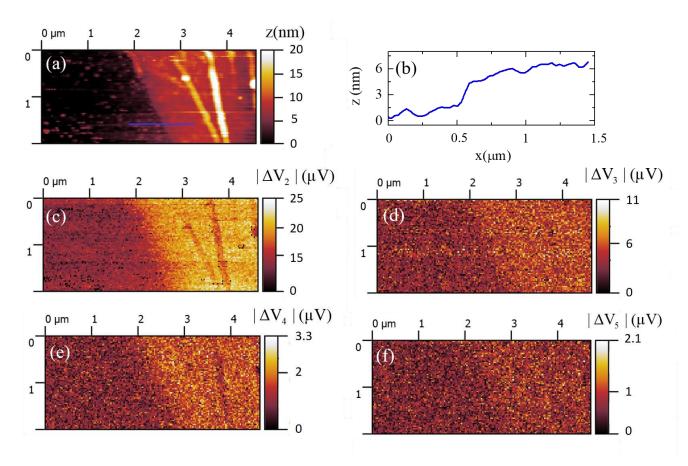


Figure 4: (a,b) AFM topography and (c-f) near-field THz imaging on a 2D graphene flake. The 2D topography map (a) and the line profile (b) at the step edge are compared with the corresponding $|\Delta V_i|$ near field signals (i=2,3,4,5). An enhancement of the near field is detected up to the fifth harmonics on the graphene with respect to the silicon substrate.

Acknowledgements

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